



Stability Issues in the Design of High Power Amplifiers and Oscillators

**S. Mons¹, T. Reveyrand¹, T. Gasseling², JC. Nallatamby¹,
R. Quéré¹, E. Ngoya¹**

(1) Xlim Lab, University of Limoges

(2) Amcad Engineering

- 123, Av. Thomas 87060 Limoges, France

- Ester 87000 Limoges, France

① : +33 555-457296

✉ : sebastien.mons@xlim.fr

② : +33 555-457666

<http://www.xlim.fr>



Outline

Small-Signal Stability Analysis

K-Factor, Normalized Determinant Function, Characteristic Determinant

Large Signal Analysis

NDF, Open loop analysis, Oscillator analysis

Measurement

Hot S parameters, High impedance probes

RF-SOC problematic

Full nodal stability analysis

Conclusion

A Stability criterion for designer



Outline

Motivation

Assessing Stability is a difficult task from the theoretical point of view !!

Checking stability before fabrication is mandatory for RF engineers !!

As performances demand increases, assuring robustly stable behavior will be a key point for commercial CAD tools...

...proposed solutions must be rigorous, low time consuming and compatibles with CAD utilities

Practical solutions

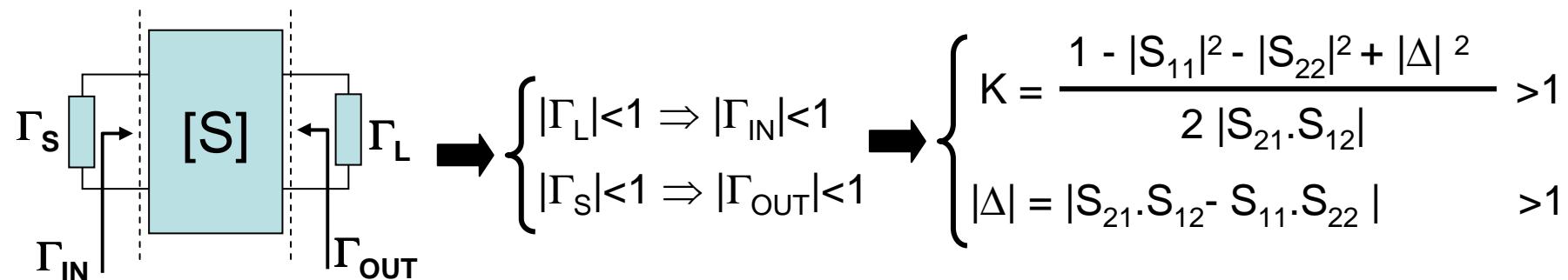
Efficient simulation methods based on perturbation theory have already been proposed for stability prediction

Characterization methods have been investigated for stability predicting

Small Signal Analysis : K-factor Criterion

Origin A linear quadripole is unconditionally stable if it does not reflect a higher power than the received one, for any passive termination

Definition



Use

$K > 1$ & $ \Delta < 1$	unconditional stability
$K < 1$	conditional stability

→ stability circles →



Conditional Stability

[1962] JM. Rollet, *Stability and power gain invariants of linear two ports*, IEEE Trans. On Circuit Theory, pp. 29-32.

Limitations

Based on the reduction of a complex circuit → a pole-zero compensation is still possible

Criterion validity → Stability of stable unloaded circuit

[1993] A. Platzker, W. Struble : *A rigorous yet simple method for determining Stability of linear N-ports Networks* GaAs IC Symposium Digest, pp. 251-254, 1993.

Small Signal Analysis : Open loop analysis

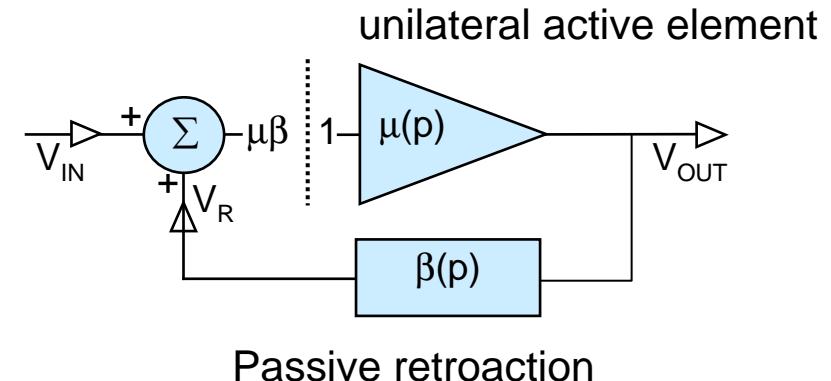
Return Difference Function (One device circuit)

1 - Direct Resolution :

$$RD = \frac{\Delta}{\Delta_0} \text{ with } \begin{cases} \Delta = \text{determinant} \\ \Delta_0 = \Delta \text{ (active sources off)} \end{cases}$$

2 - Undirect resolution :

$$RD = 1 + RR$$



➡ RD cannot have PRP poles ➡ Nyquist analysis

Normalized Determinant Function (N-devices circuit)

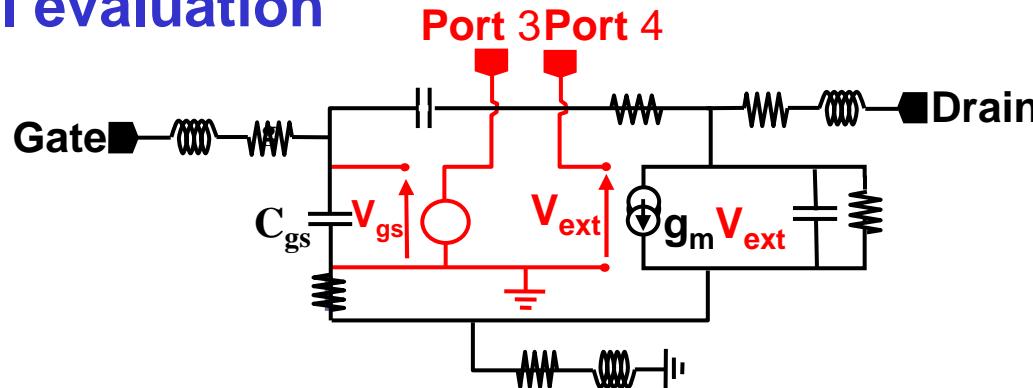
1 - Direct Resolution : $NDF = \frac{\Delta}{\Delta_{ON}}$ ➡ NDF cannot have PRP poles ➡ Nyquist analysis

2 - Undirect resolution : $NDF = \prod_{i=1}^n (RD_i)$ With $RD_i = \frac{\Delta}{\Delta_{oi}}$ & $\Delta_{oi} = \Delta(gm_o \dots gm_{i-1} = 0)$

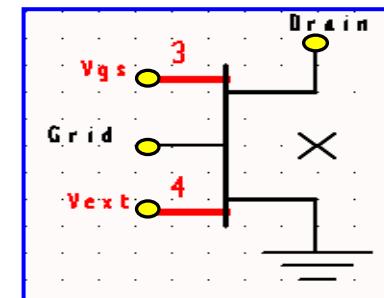
[1994] A. Platzker, W. Struble, *Rigorous determination of the stability of linear N-Node circuits from network determinants and the appropriate role of the stability factor K of their reduced two-ports*, INMMC Workshop, Duisburg, pp. 93-107.

Small Signal Analysis : Open loop analysis

Practical evaluation



4-ports model



Possible States of the transistors

On	Off	Open-loop
3 4	3 50Ω 50Ω	3 4 Port 3 + - Port 4 + -

$$RR_i = -\frac{V_{GS}}{V_{EXT}} = -\frac{S_{34}}{2}$$

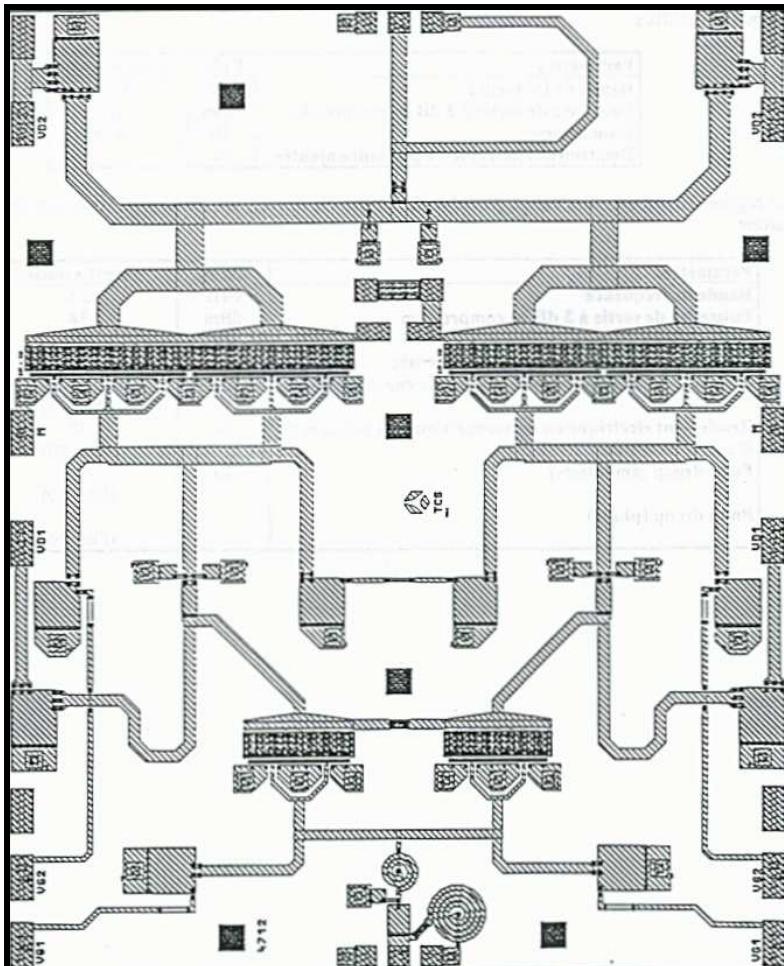


N - Sparameters simulations



$$NDF = \prod_{i=1}^N (1 + RR_i)$$

Small Signal Analysis : C - Band amplifier



Design specifications :

Band	C
P_{OUT}	> 5 Watt
AB-class, pulse mode	

Pulsed mode

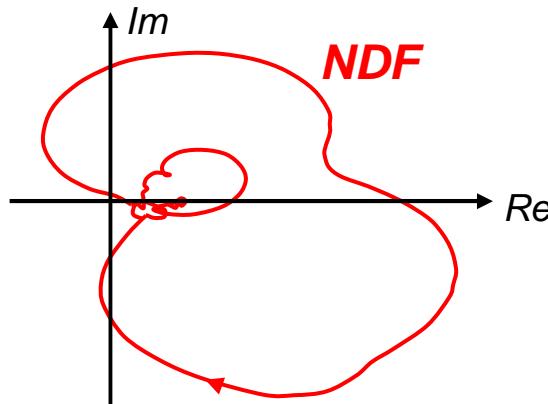
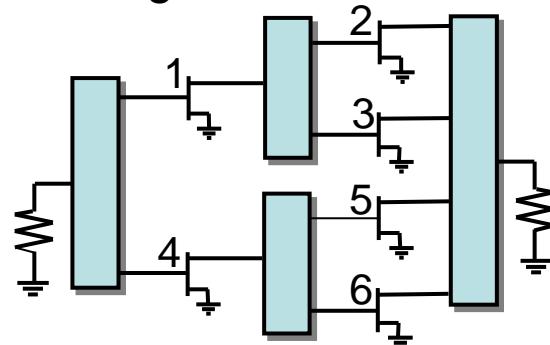
P_{out} (- 3 dB)	38 dBm
Gain	> 26.5 dB
PAE	36%
K-Factor	Stable

Oscillation phenomena appear during the characterization step

Small Signal Analysis : C - Band amplifier

Bias : $V_g = -3V$, $V_d = 10V$

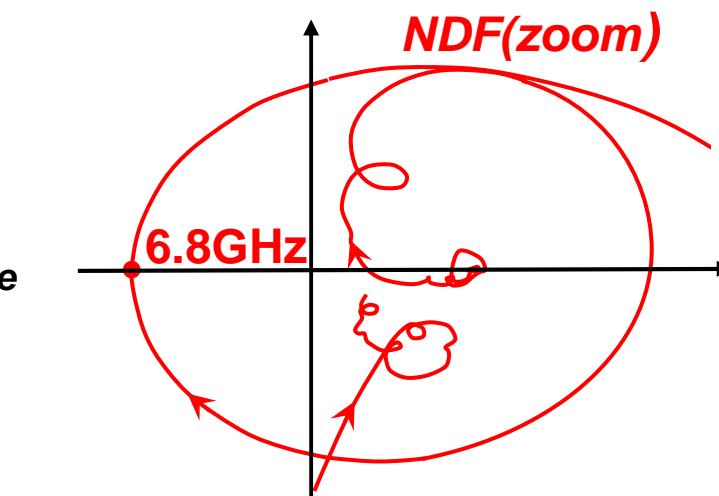
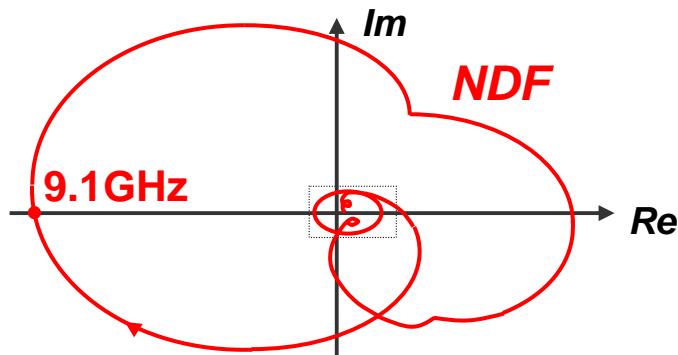
Indexing of the transistors



K-factor + Stability circles:
conditional Stability

NDF :
Unconditional Stability

Bias : $V_g = -3V$, $V_d = 3V$

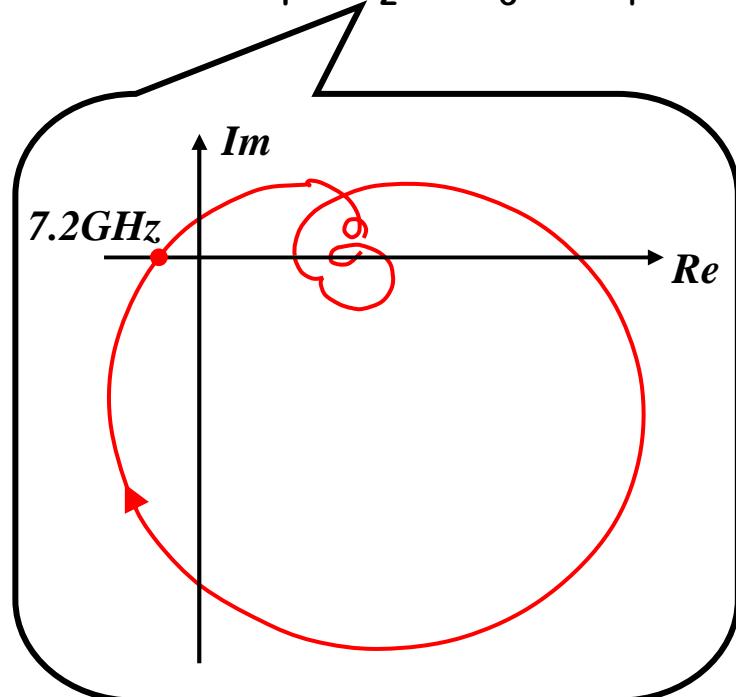


Two clockwise encirclements...
 $F_{osc,1} = 6,8 \text{ ???}$
 $F_{osc,2} = 9,1 \text{ ???}$

Small Signal Analysis : C - Band amplifier

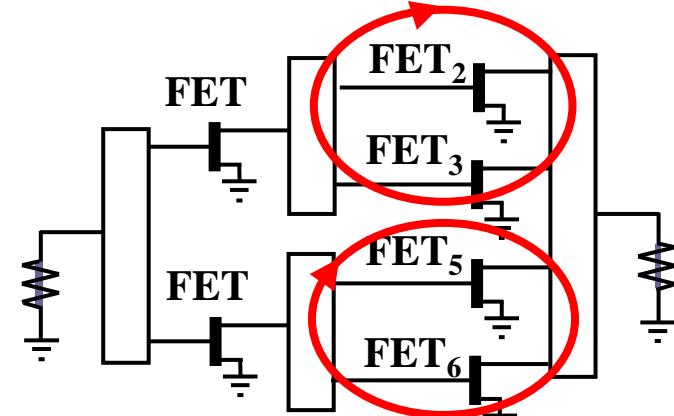
Bias : $V_g = -3V$, $V_d = 3V$ (NDi plots)

$$NDF = RD_1 \cdot RD_2 \cdot RD_3 \cdot RD_4 \cdot RD_5 \cdot RD_6$$

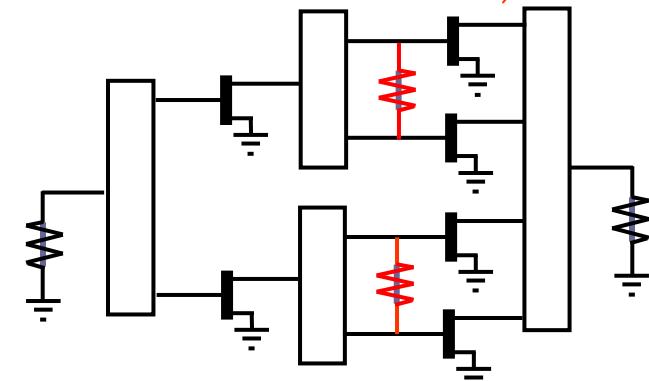


2nd stage : a 2nd order pole is located near 7 GHz...

Localization of the unstable mode



Design Correction R_{opt}



Criteria

Stability analysis is performed by using both NDF and K-factor criteria

Implementation

K-factor : Integrated on all Commercially available tools

NDF : should be integrated on Commercially available tools

Advantages

R_D , can be used to locate an unstable mode $\rightarrow f_{osc}$ estimated, nature

Stability margins can be directly optimized during the design step \rightarrow Circuit correction

Statistical studies are possible

It is necessary to have fine transistor modeling

For Black box model, NDF is evaluated at the input using a circulator :

- [1993] M. Othomo : *Stability analysis and numerical simulation of multi-device amplifiers*
IEEE trans. Microwave Theory Tech., pp. 983-991, 1993.

Large Signal Analysis : Perturbed HB equation

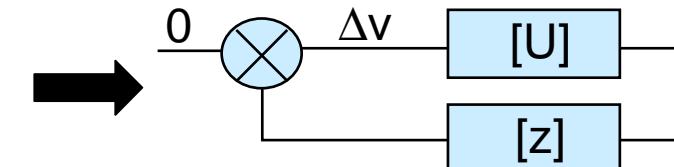
Characteristic System : *Linearization of the large signal steady state*

I : Identity

J : Jacobian

ΔV : Control voltages at $k\omega_{in} \pm \Omega$

$$(I - Z(\Omega) \cdot U) \cdot \Delta V = 0$$



Nyquist analysis of $\Delta(j\omega)$ = $\prod_{i=-n}^n (\lambda_i)$... provided there is no pole / zero cancellation

[1996] R. Quéré, E. Ngoya, S. Mons, J. Rousset, M. Camiade, J. Obregon, *Linear and nonlinear stability analysis of microwave circuits*, GaAs 96, 7INV1, Paris, Invited paper

Reduced characteristic System : *defining NDF formulation*

$$(I - Z' \times G_M) \times \Delta V_{gs} = 0$$

G_M is the conversion matrix of all transistor transconductances

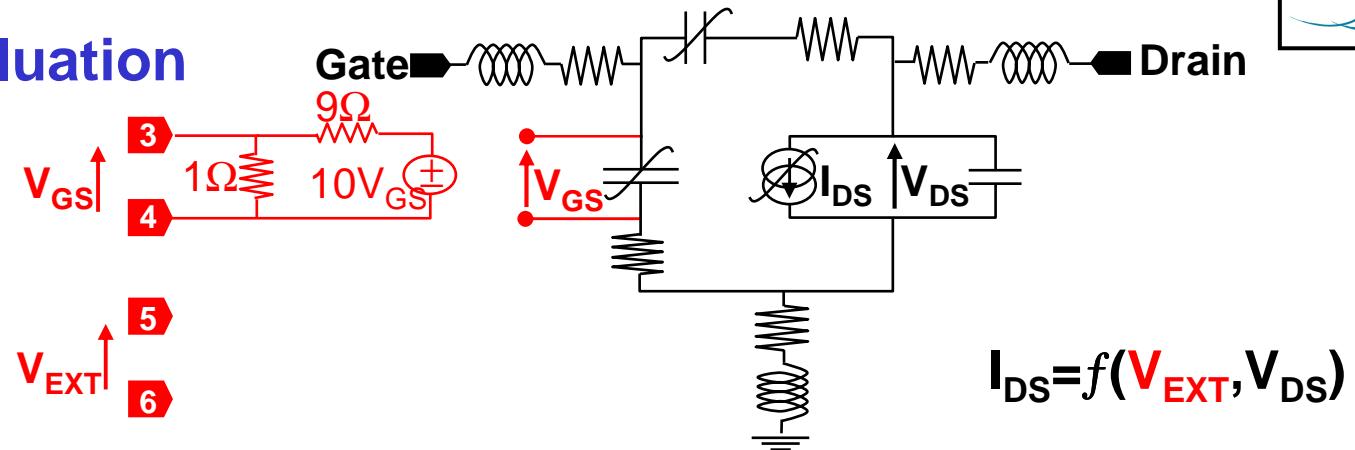
Nyquist analysis of NDF = $\prod_{i=-n}^n (RD_i)$

RR_i is the Return Ratio matrix of the i^{th} source when $G_{M1} \dots G_{M_{i-1}}$ are set to zero

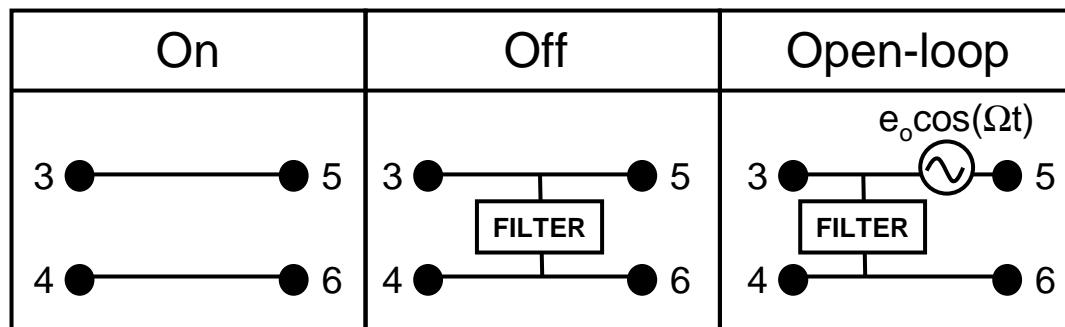
[1999] S. MONS, J.C. NALLATAMBY, R. QUERE, P. SAVARY, J. OBREGON : *A unified approach for the linear and nonlinear stability analysis of microwave circuits using commercially available tools*, IEEE Trans. on Microwave Theory and Techniques (special issue on 1999), Vol. 47, n°12, pp. 2403-2409

Large Signal Analysis : Open loop analysis

Practical evaluation



Possible states of the transistors

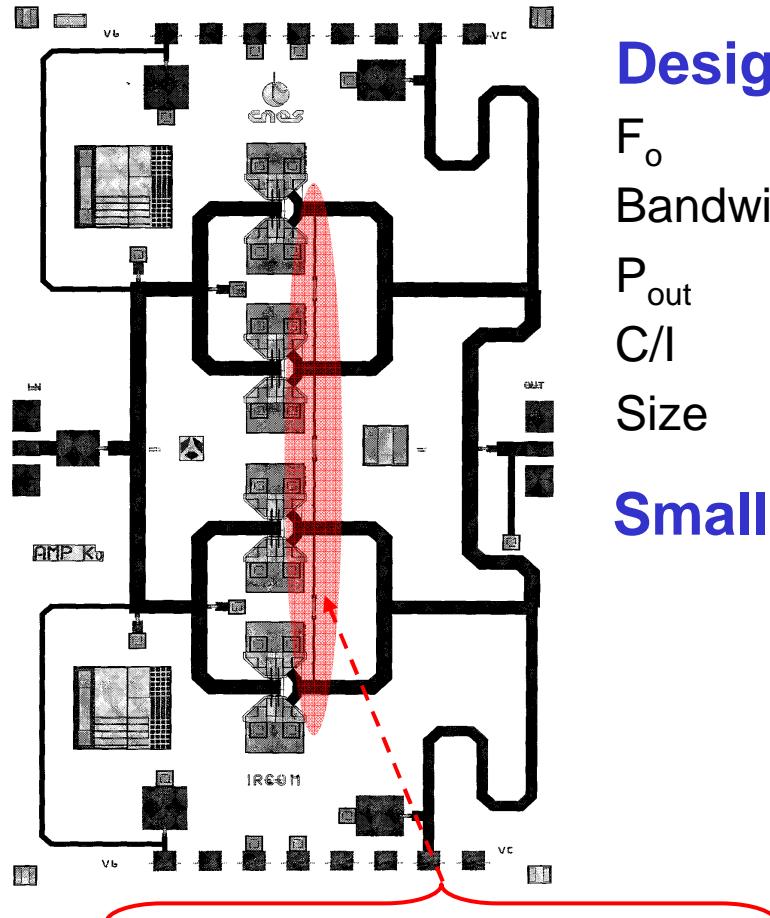


Each frequency position of Ω determine a Column of $R R_i$

The filter cancels $k \omega_{in} \pm \Omega$ intermodulation products

→ H.B (mixer mode) with $\Omega \in]DC, \frac{1}{2} F_{in}[$

Large Signal Analysis : HBT Ku-band amplifier



Bondings ensure a stable state of the bias point

Design Specifications

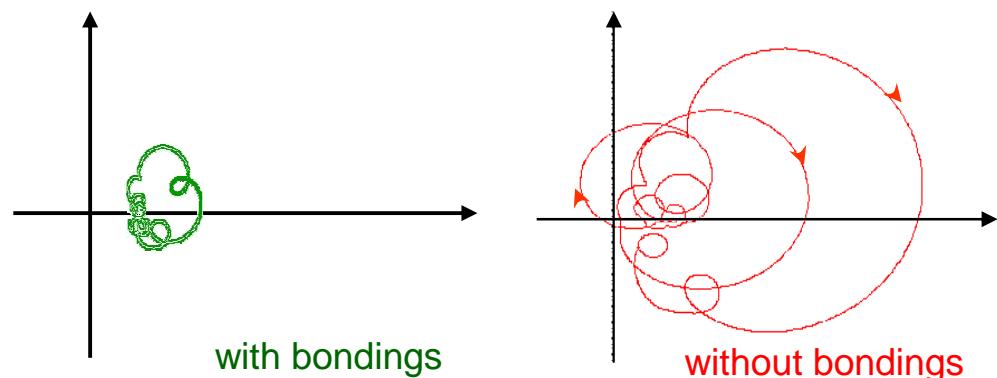
F_o	12.6 GHz
Bandwidth	500 MHz
P_{out}	1 W
C/I	20dB
Size	$2.5 \times 4.5 \text{ mm}^2$

Simulation results

P_{out}	30.5 dBm
PAE	35%
K-factor	Stable
NDF(linear)	Stable

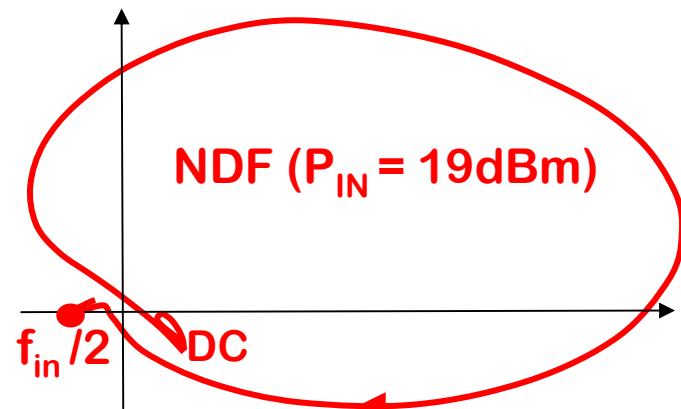
Small-signal analysis

Nyquist plot of NDF ($V_{co} = 9V$, $I_c = 120mA$)



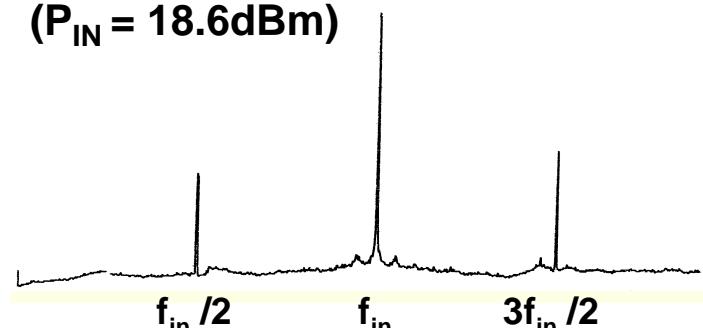
Large Signal Analysis : HBT Ku-band amplifier

Drain current : 260mA

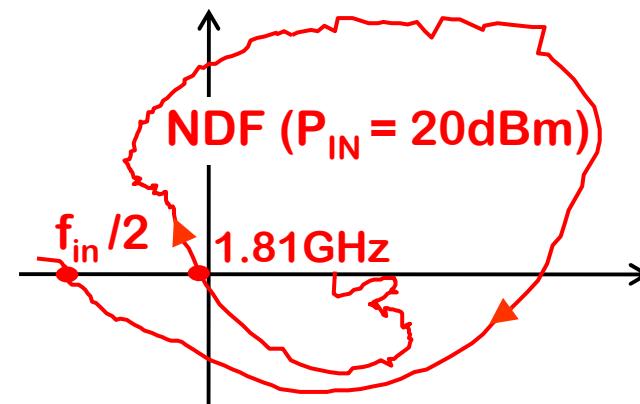


a half-clockwise encirclement →
division frequency phenomena

Power spectrum
($P_{IN} = 18.6\text{dBm}$)

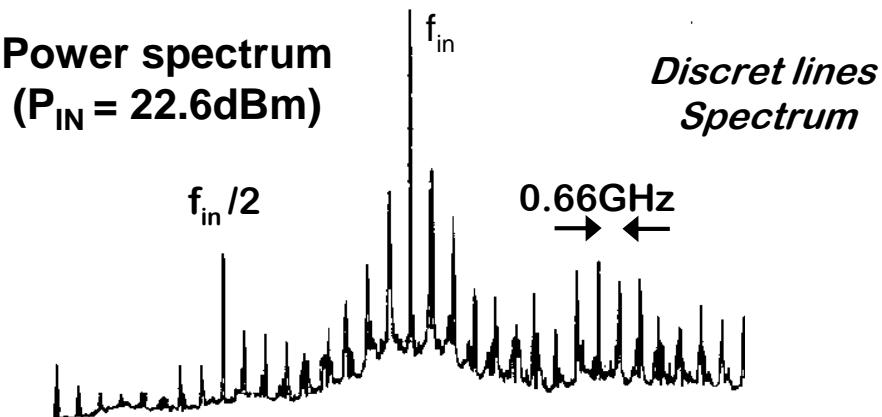


Drain current : 360mA



a half-clockwise encirclement → division frequency
a clockwise encirclement → free oscillation

Power spectrum
($P_{IN} = 22.6\text{dBm}$)



Large Signal Analysis : Direct open-loop analysis

Idea : The mathematical utility of the NDF is obtaining a function without poles PRP (undesirable information) representing the zero PRP (required information)

Each element of the RR matrix contains stability information (ie. PRP zeroes)

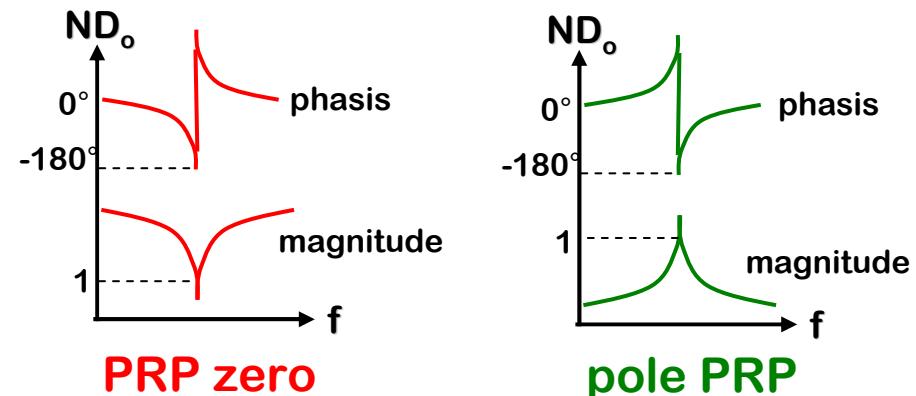
- RR matrix can be reduced to its central element RR_0
- For multistage PAs, a single open-loop analysis is sufficient per stage

However, it is necessary to be able to distinguish the zeros from the poles...

→ Bode's analysis of ND_o

Nyquist's criterion is difficult to apply (partial encirclements)

Poles and zeros are distinguished by sweeping a significant parameter (ie. P_{IN})



Advantages

Low Time consuming (due to high system reduction)

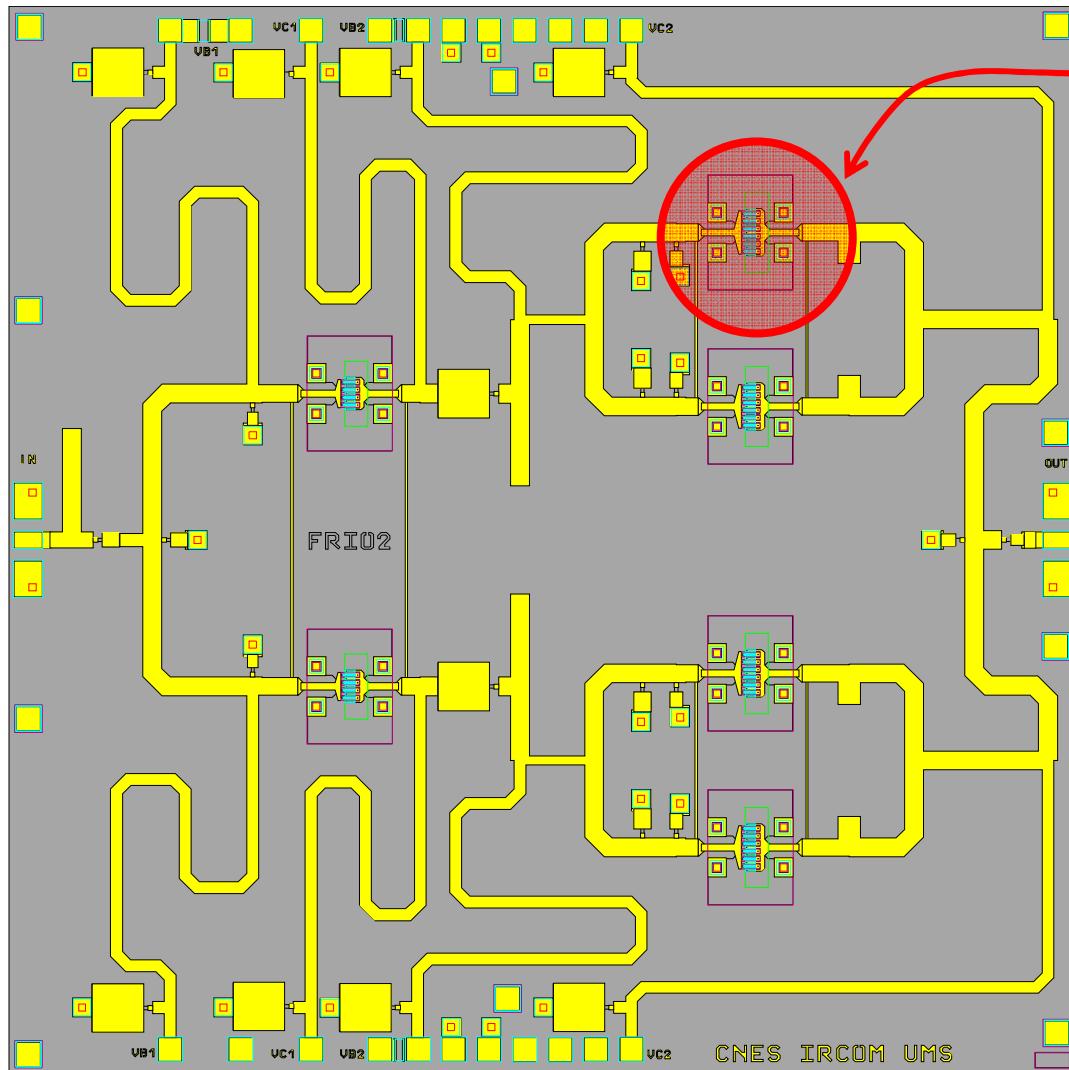
Possible integration to Commercially available tools

Easy to use

stability margins can be defined

[2002] A. ANAKABE, S. MONS, T. GASSELING P. CASAS, R. QUERE, J.M. COLLANTES, A. MALLET,
Efficient nonlinear stability analysis of microwave circuits using commercially available tools, 32th European Microwave Conference (EuMC'02), Milan, 23-27 Sept. 2002

Large Signal Analysis : X-band MMIC amplifier



*Direct open
loop analysis*

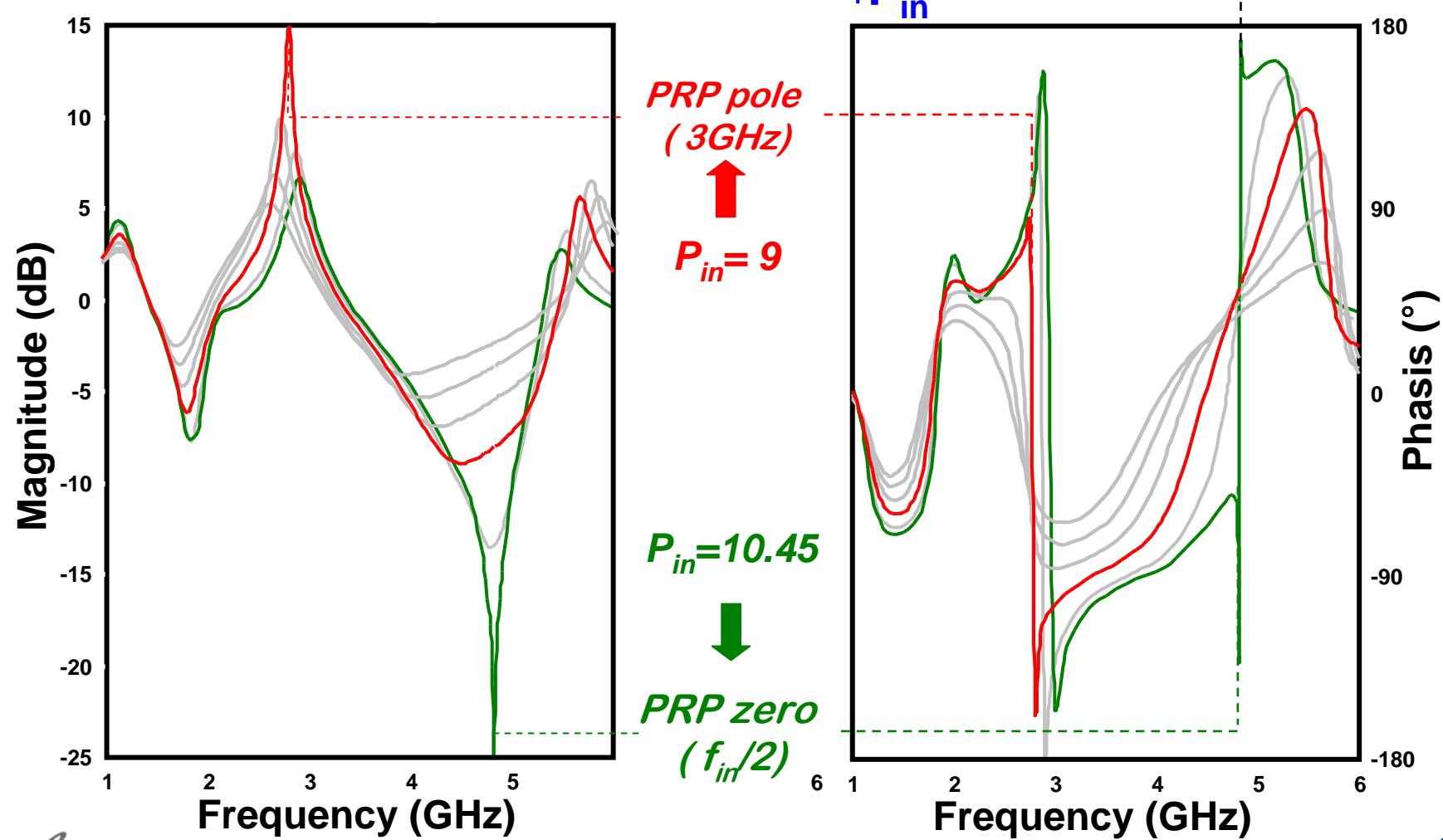
Design specifications

f_o	9.65 GHz
PAE	max
Bandwidth	> 150MHz
P_{out}	33 dBm
T_{max}	60°

**Frequency division is
observed @ $P_{out} > 29$ dBm**

Large Signal Analysis : X-band MMIC amplifier

Open-loop analysis : $ND_o = f(F_p) @ F_{in} | P_{in}$



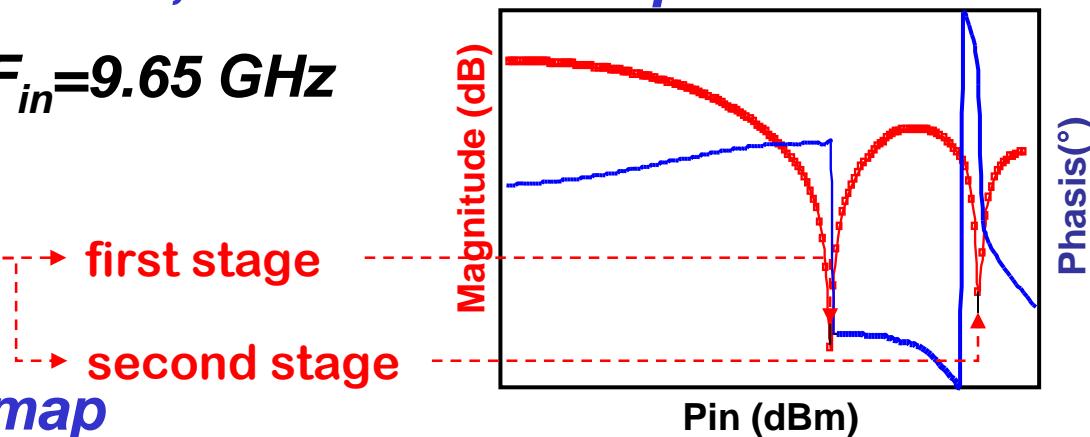
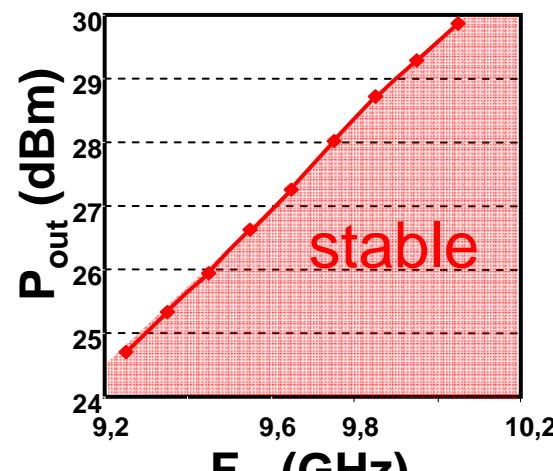
Large Signal Analysis : X-band MMIC amplifier

Once the oscillation is found, useless to sweep f ...

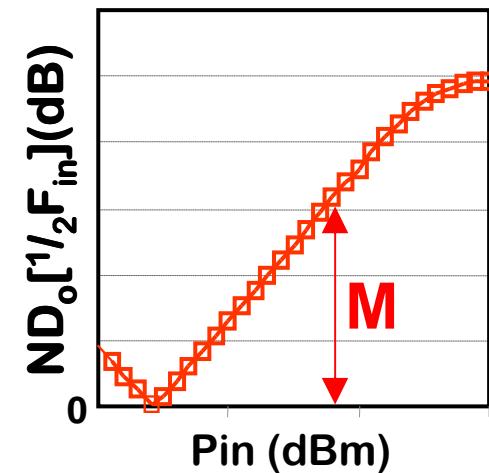
→ $ND_o(F_{osc}) = f(P_{in})$ @ $F_{in}=9.65 \text{ GHz}$
with $F_{osc} = F_{in}/2$

division phenomena of the
→ first stage
→ second stage

*... the entire bifurcation map
can be easily computed*

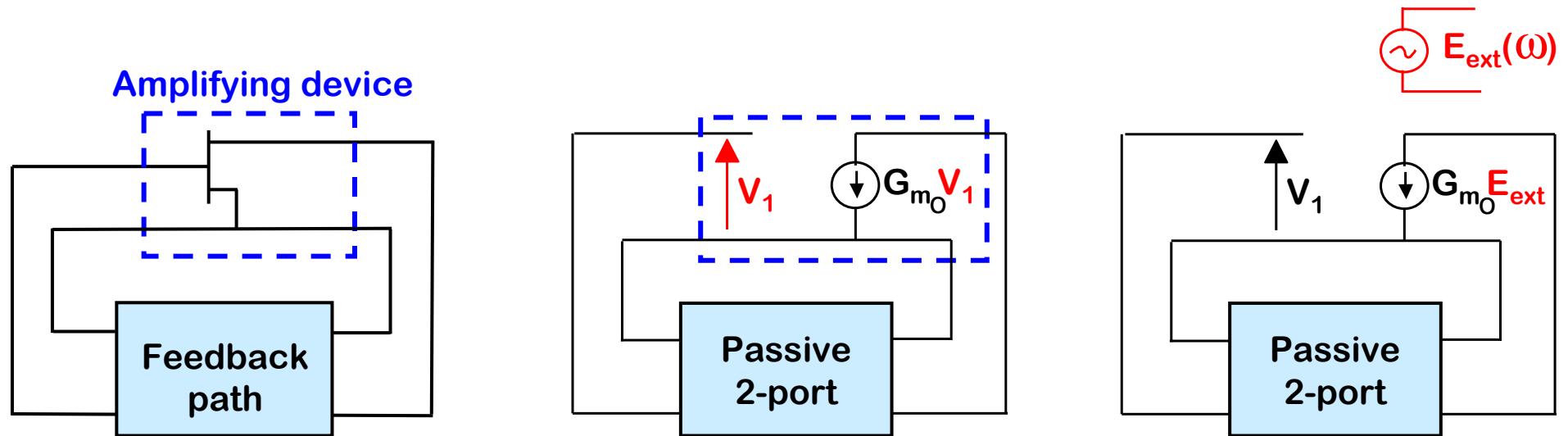


Moreover, stability
margins can be
directly defined...



Oscillator Analysis : Open loop approach

The open loop gain concept



$$\tilde{G}_{OL}(j\omega) = \text{open loop gain} = \frac{\tilde{V}_1(j\omega)}{\tilde{E}_{ext}(j\omega)}$$

Oscillator Analysis : Oscillating conditions

Let's examine the open loop gain $\tilde{G}_{OL}(j\omega)$ around the frequencies

where $\begin{cases} |\tilde{G}_{OL}(\omega_o)| > 1 \\ \varphi_{OLG}(\omega_o) = \angle \tilde{G}_{OL}(\omega_o) \approx 0 \end{cases}$

→ $|\tilde{G}_{OL}| > 1$

During the transient \tilde{G}_{OL} reduces to a large signal operating point where $|\tilde{G}_{LS}(\omega_o)| = 1$

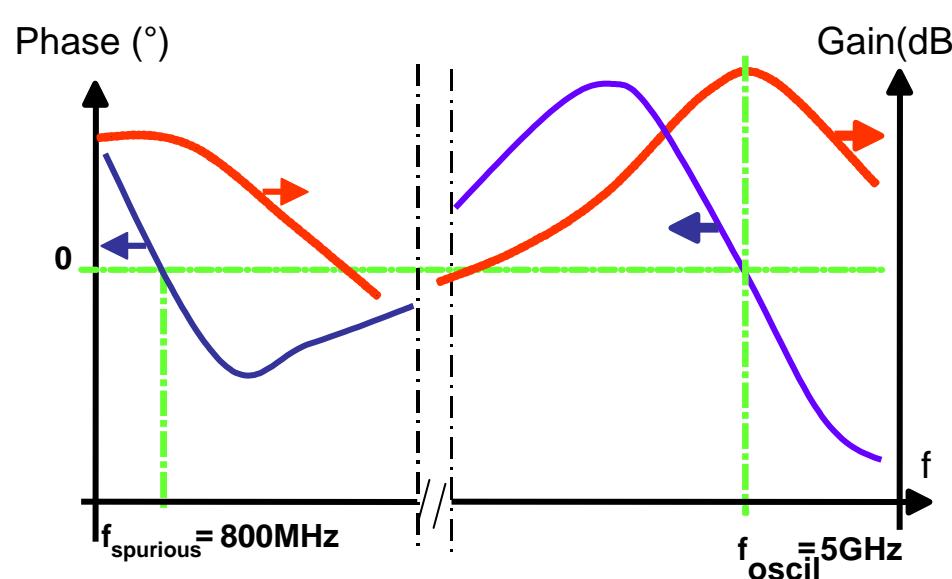
→ $\varphi_{OLG}(\omega_o) = \angle \tilde{G}_{OL}(\omega_o) \approx 0$

During the transient $\varphi_{OLG}(\omega)$ shift in frequency to the operating point where :

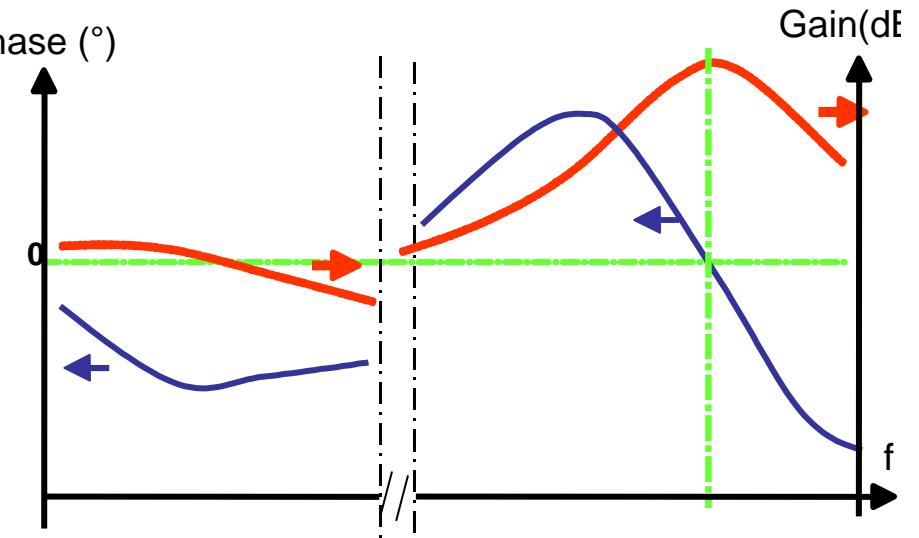
$$\varphi_{LS}(\omega_o) = 0 \quad \text{and} \quad \omega_{o\text{large signal}} \approx \omega_{o\text{small signal}}$$

Oscillator Analysis : *Simulation results*

Linear open-loop gain



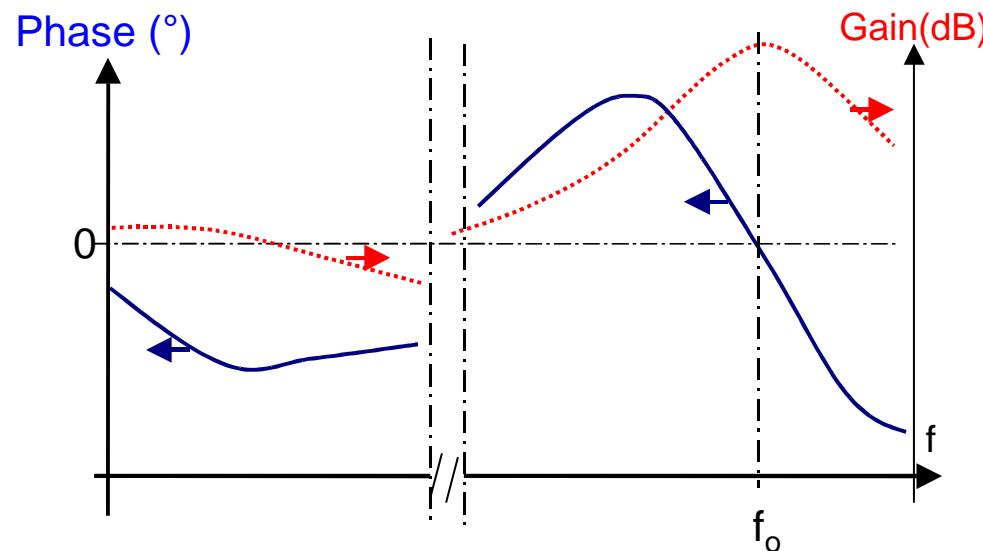
MMIC oscillator
(without circuit stabilization)



MMIC oscillator
(with circuit stabilization)

Oscillator Analysis : *Simulation results*

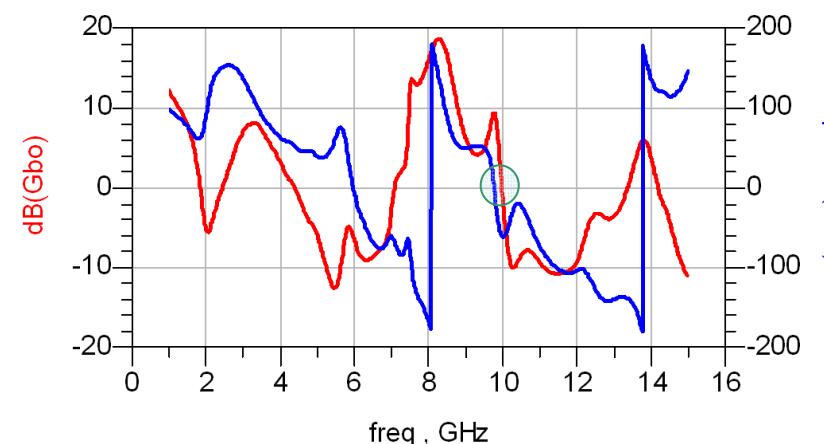
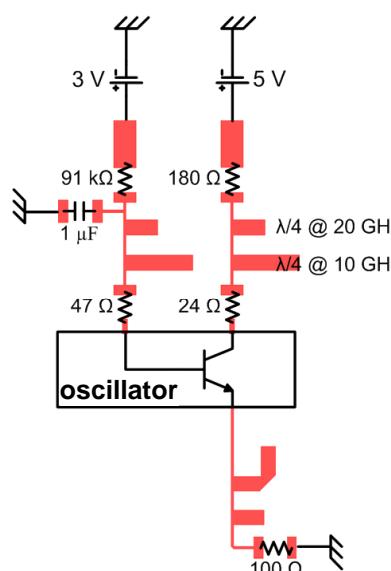
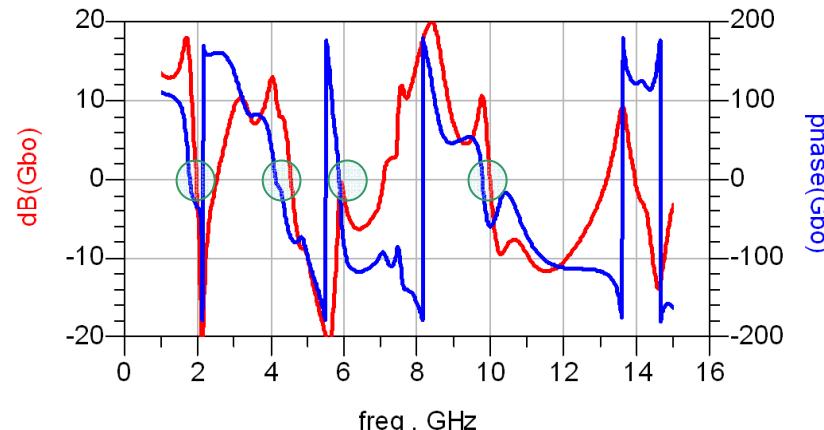
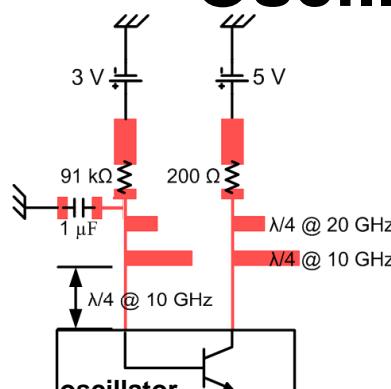
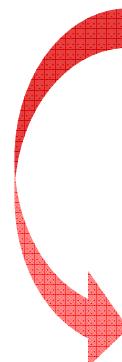
Linear-loop gain for a transistor oscillator



Are the previous conditions **sufficient** to find a stable oscillation ?

No, the local stability of the large signal operating point must be analyzed

Oscillator Analysis : Bias circuits



Oscillator Analysis : Principle

A first response can be given by a small signal linear analysis

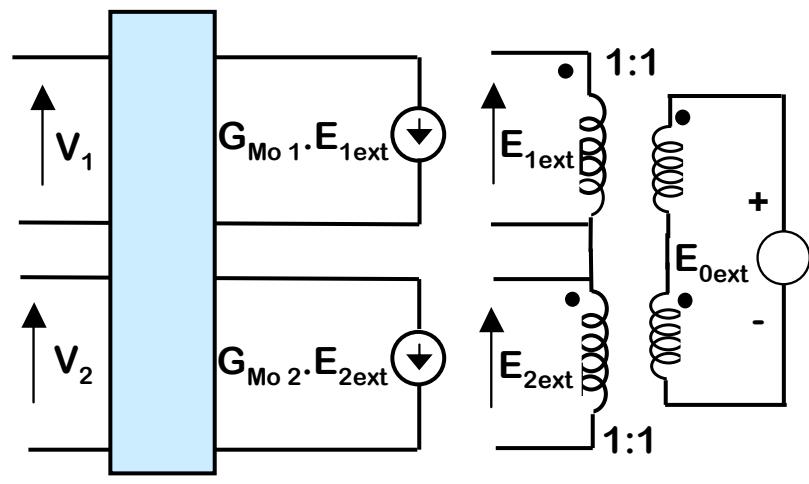
If $\left\{ \begin{array}{l} \tilde{G}_{OL}(\omega_O) > 1 \\ \varphi_{OL}(\omega_O) = \angle \tilde{G}_{OL}(\omega_O) = 0 \\ \frac{d\varphi_{OL}}{d\omega} \Big|_{\omega_O} < 0 \end{array} \right.$

Then the final steady-state oscillation should be stable

Oscillator Analysis : Principle

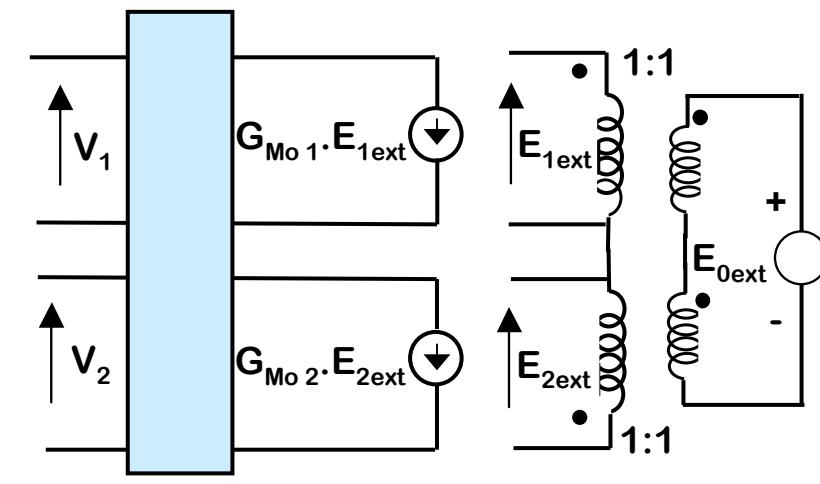
Oscillations start in symmetrical oscillation circuits

In balanced structures two modes of oscillations can be generated:
In phase mode / Out of phase mode



Oscillator circuit

In-phase excitation



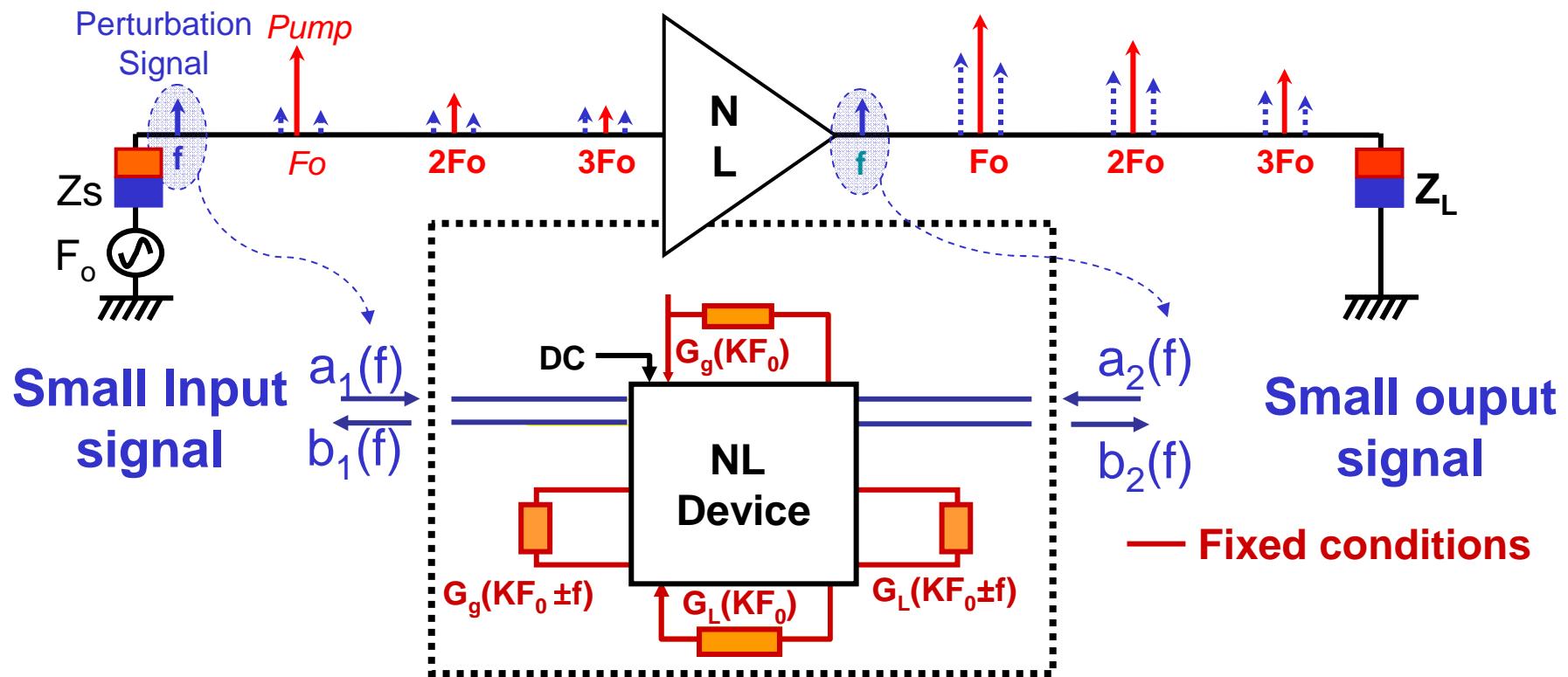
Oscillator circuit

Out of phase excitation

$$\tilde{G}_{OL}(j\omega) = \text{open loop gain} = \frac{\tilde{V}_1(j\omega)}{\tilde{E}_{1ext}(j\omega)}$$

Measurement : Predicting parametric oscillations

Hot S parameters

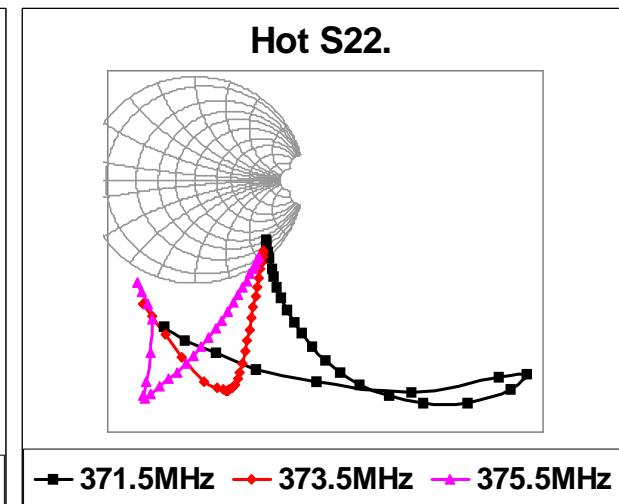
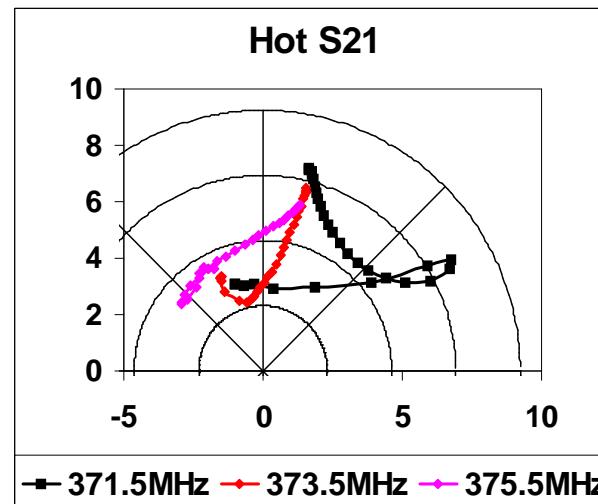
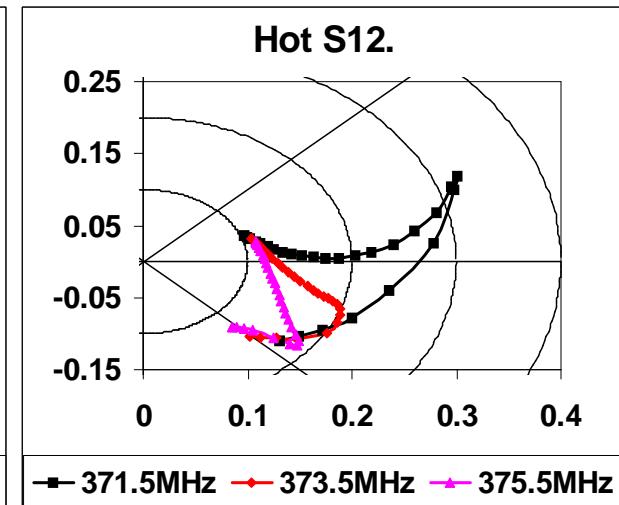
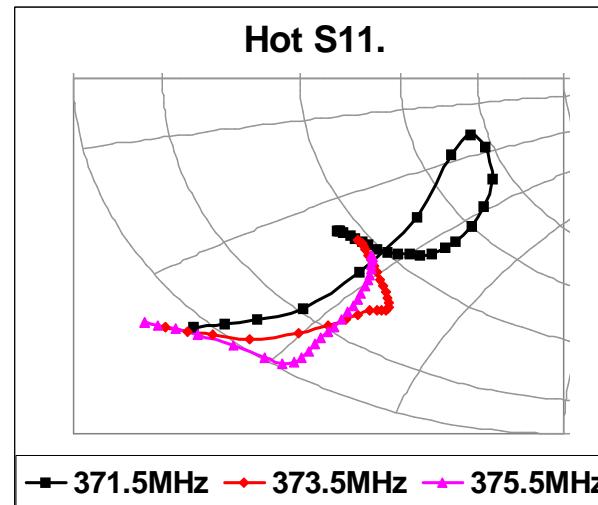
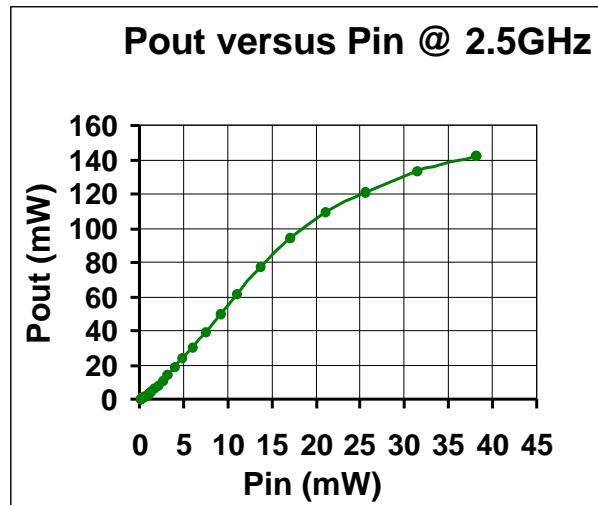


Two-ports model, Linear Time Invariant

→ Large Signal K-Factor

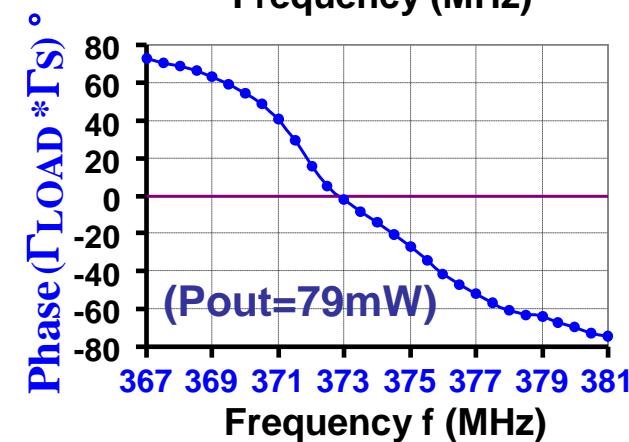
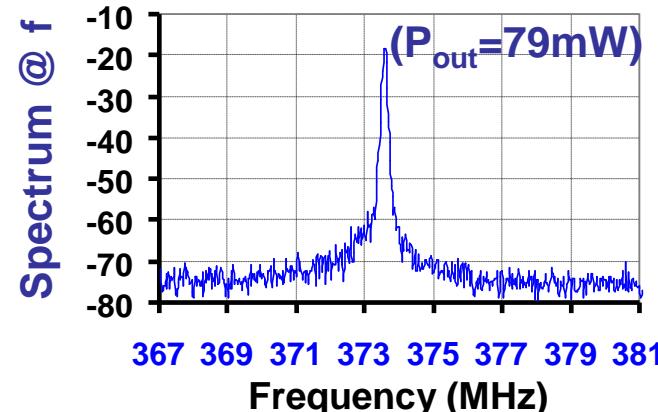
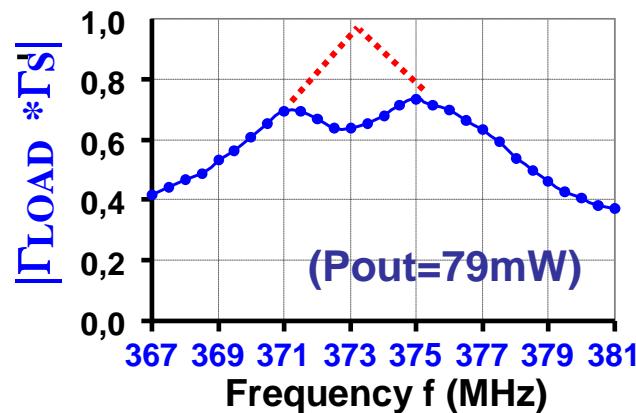
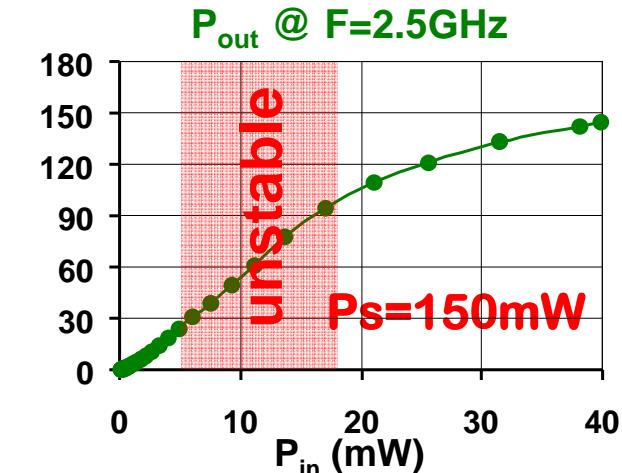
Measurement : HBT 6 fingers of $2 \times 30 \mu\text{m}^2$

Hot S-parameters extraction @ Z_{ch} n°2



- 371.5 MHz
- ◆ 373.5 MHz
- ▲ 375.5 MHz

Measurement : TBH 6 fingers of $2 \times 30 \mu\text{m}^2$

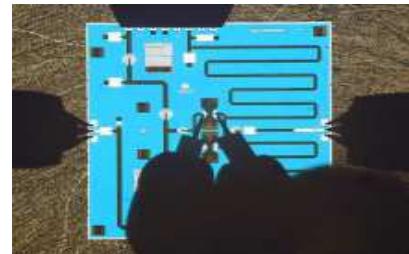


[2004]

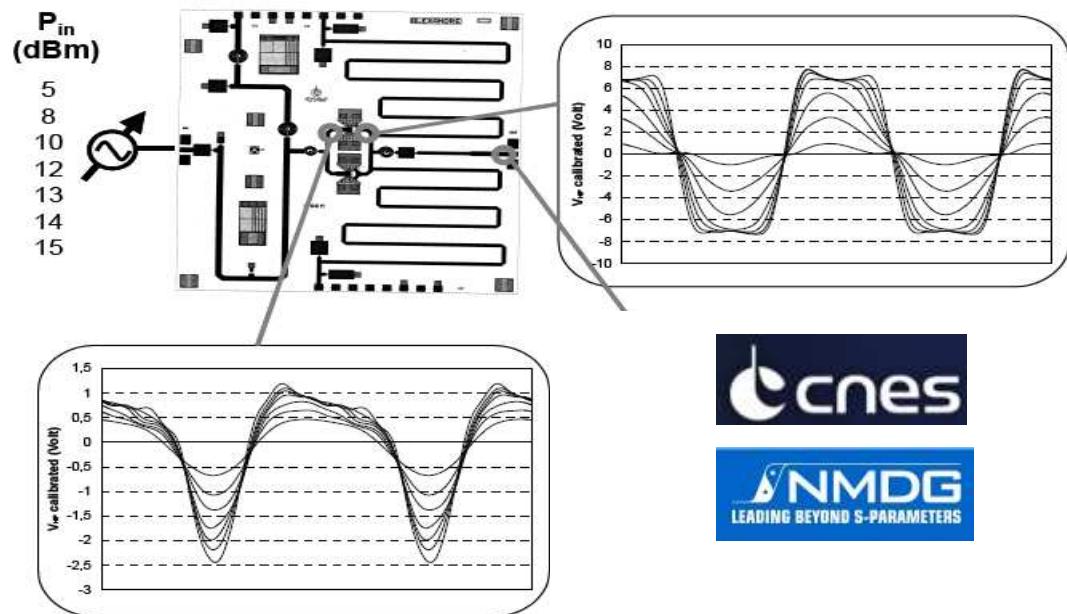
T. Gasseling, D. Barataud, S. Mons, J.M. Nebus, J.P. Villotte, J. Obregon, and R. Quéré, "Hot small-signal S-parameter measurements of power transistors operating under large-signal conditions in a load-pull environment for the study of nonlinear parametric interactions", *Microwave Theory and Techniques, IEEE Transactions on*, 2004

Measurement : LSNA + HIP

Applications for MMICs validation & Stability



Class-F PA
 $f_{in}=1.8\text{GHz}$ - 2HBT AsGA



HIP enables easy detection of oscillations

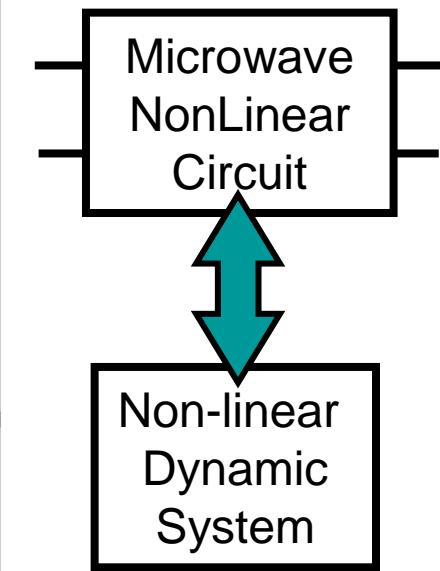
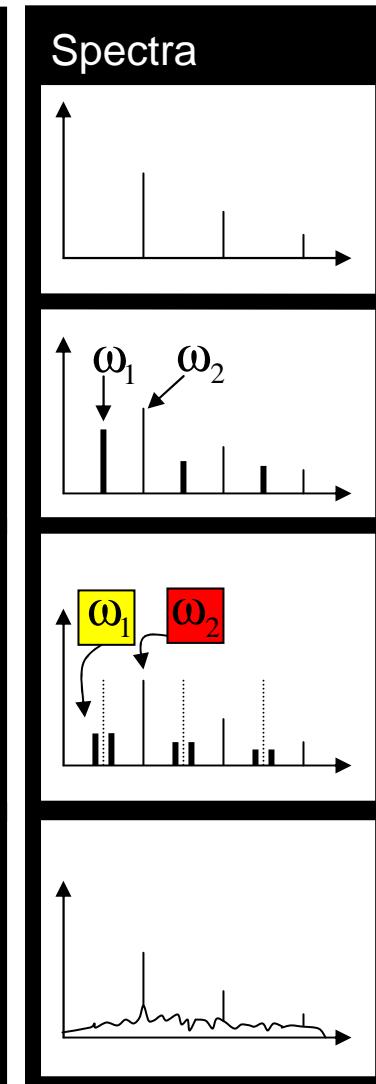
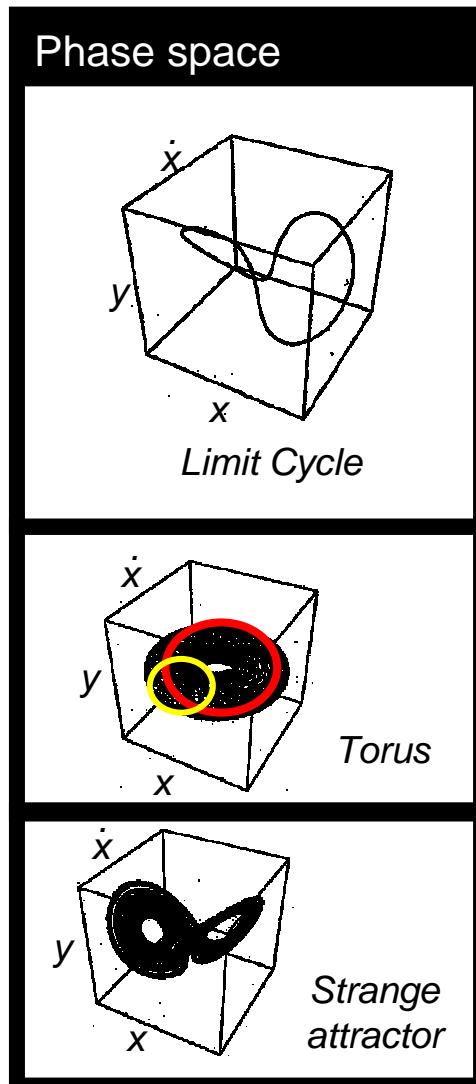
LSNA enables phase measurements

Knowledge of nonlinear phenomena & Optimal design of PA

- [2004] T. Reveyrand, A. Mallet, F. Gizard, Luc Lapierre, J.M. Nébus, M. Vanden Bossche, «A new time domain waveform measurement setup to investigate internal node voltages in MMICs.», Microwave Technology and Technique Workshop, ESTEC, Noordwijk , May 2004

Measurement : *Parametric stability*

PERIODIC
Fundamental Harmonics
Rational Frequency division
$r = \frac{\omega_1}{\omega_2} \in \mathbb{Q}$
QUASI-PERIODIC
Non rational Frequency division
$r = \frac{\omega_1}{\omega_2} \notin \mathbb{Q}$
CHAOTIC
Non periodic

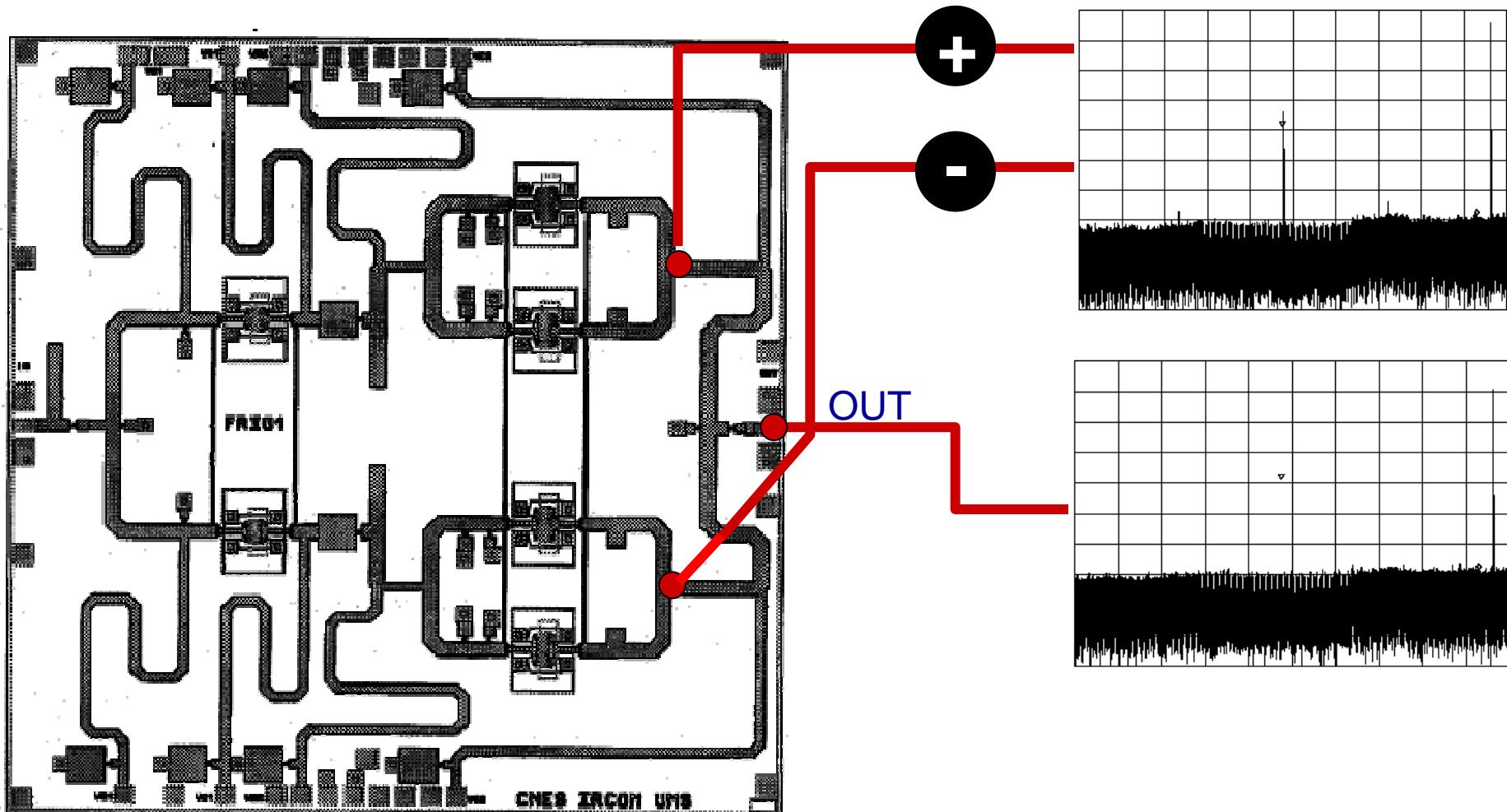


NL-ODE
Non-linear Ordinary Differential Equations

$$F_{NL}(x, \dot{x}, y, \dots) = 0$$

Measurement : LSNA + HIP

Experimental verification of the oscillation mode



RF-SOC Problematic : Outline

Large device count → thousands of transistors...

Parasitic extraction → tens of thousands of nodes...

User implemented NDF and probing technique become impractical

- Cumbersome (large number of devices)
- Insecure (extensive number of internal loops)

Stability analysis based on Full nodal equation is necessary

- Numerical techniques for full nodal stability have matured
- May not be implemented by the designer
- Now available in some commercial CAD tools

Full nodal stability analysis : Two approaches

Modified nodal perturbation equation $Y(p)V(p) = 0, \quad p = \sigma + j\omega$

Two approaches → Nyquist method or Eigen value method

Nyquist method → Generalized NDF $D(p) = \frac{\det[Y(p)]}{\det[Y_p(p)]}$

$$V(p) = \begin{bmatrix} V_A(p) \\ V_p(p) \end{bmatrix} \longrightarrow \begin{array}{l} \text{transistors nodes (active)} \\ \text{remaining nodes (passive)} \end{array} \longrightarrow Y(p) = [Y_A(p) | Y_P(p)]$$

Investigate the complex phase trajectory → $D(j\omega) / |D(j\omega)|$

The number of clockwise encirclements indicate instability and associated frequencies

Limitation → Pole-zero cancelation in determinant evaluation may hide some instability

CAD tools → Ansoft / Nexxim, Xpedion / GoldenGate

Full nodal stability analysis : Nyquist method

Nyquist method → generalized NDF

$$D(p) = \frac{\det[Y(p)]}{\det[Y_p(p)]} = |D(p)| e^{j\phi_{D(p)}}$$

Investigate the complex phase trajectory → $D(j\omega)/|D(j\omega)|$

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Full nodal stability analysis : Eigen value method

Eigen value method

$$Y(p)V(p) = 0, \quad p = \sigma + j\omega$$

Most RF circuits are modeled by R-L-C networks $Y(p) = [G + pC]$

Unstable circuit if Eigen values λ on the half right plane

Imaginary part $j\omega$ of eigen value gives the frequency of instability

$d\sigma / d\eta$ of eigen value gives a notion of stability margin versus the parameter η

Eigen value problem

$$AV = -\lambda \cdot V \quad A = G^{-1}C, \quad \lambda = p^{-1} = \frac{\sigma - j\omega}{\sigma^2 + \omega^2}$$

Efficient Krylov based numerical techniques and tool boxes available can solve very large systems

Limitation

→ Numerical instability for large problem size; apply well only to DC operating point stability

CAD tools

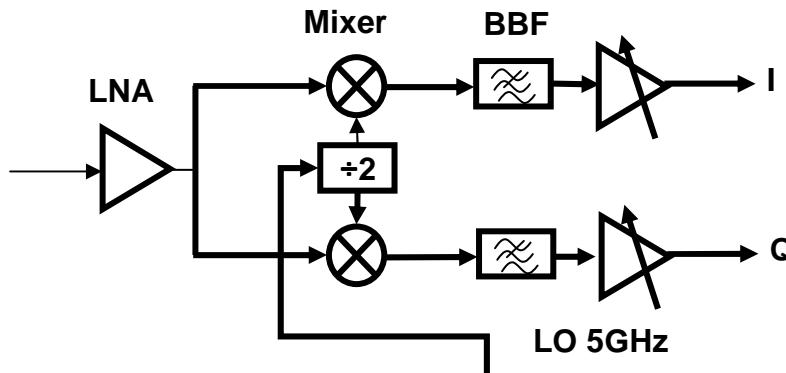
→ Xpedion/GoldenGate, Mentor/EldoRF



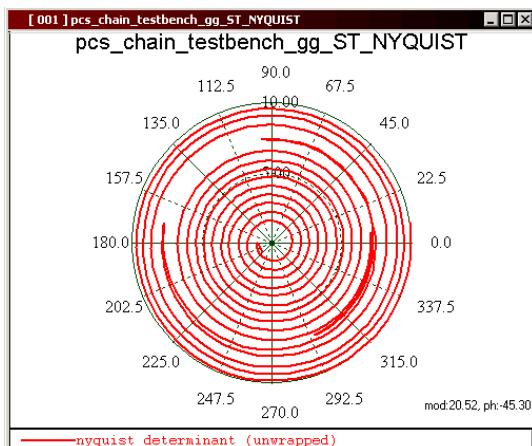
Full nodal stability analysis : PCS chain

1200 MOS + BJT

8000 nodes – extracted view



Nyquist method: 8 mn

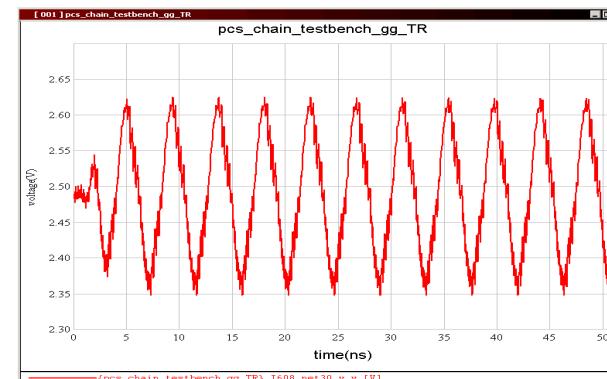


Instability freq: 0.2 GHz

Eigen value method: 4 mn

Unstable eigen value : $[2 + j0.3]$ GHz

Parasitics creates a low frequency instability



Transient simulation
shows the 265 MHz low
frequency oscillation



Conclusion

Simulation guide for designer

Small signal → S parameters → K-factor + NDF

Large signal@ F_{in} → HB (mixer mode) → $ND_o = f(F_p | P_{in})$ + Bode's analysis

A simple and efficient way to detect and optimize stability as a common parameters in a CAD commercialy tool (Stability margins are defined)

Open loop analysis is also the best way to design autonomous circuits (oscillator)

It's always necessary to have fine transistor modeling...

Measurement sets-up...

Hot S parameters → for predicting parametric oscillation (Large signal K-factor)

LSNA + HIP → offers an unique experimental verification of even and odd oscillation modes

...essential for the analysis of both operating conditions and reliability aspects

RF SOC problematic

Full Nodal Analysis → Nyquist / Eigenvalue methods should be integrated in CAD utilities